

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

PCT/JP00/05319

INTERNATIONAL FILING DATE

9 AUGUST 2000

U.S. APPLICATION NO. (If known see 37 C.F.R. 1.5)

09/807114

(EARLIEST) PRIORITY DATE CLAIMED

9 AUGUST 1999

TITLE OF INVENTION

TRANSMITTING APPARATUS, TRANSMITTING METHOD, RECEIVING APPARATUS, RECEIVING METHOD, TRANSMITTING AND RECEIVING APPARATUS, TRANSMITTING AND RECEIVING METHOD, RECORD MEDIUM, AND SIGNAL

APPLICANT(S) FOR DO/EO/US Tetsujiro KONDO, Naoki KOBAYASHI, Masanori KANEMARU

Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.

14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

PCT/RO/101, PCT/ISA/210

57 Sheets of Drawings, 1 Page Abstract

EXPRESS MAILMailing Label Number: **EL742696232US**Date of Deposit: **April 6, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service

"Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents and Trademarks, Box PCT Washington, DC 20231.

Edward Nay
(Typed or printed name of person mailing paper or fee)

[Signature]
(Signature of person mailing paper or fee)

06 APR 2001

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17. ☒ The following fees are submitted

(CALCULATIONS /PTO USE ONLY)

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1,000.00

International preliminary examination fee (37 C.F.R. 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$ 860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = (\$ 860.00)

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 (\$
months from the earliest claimed priority date (37 CFR 1.492(e)).

77 Claims /Number Filed / Number Extra /Rate (\$

Total Claims / **77** - 20 = / **57** /X **\$18.00** (**\$1,026.00**)

Independent Claims / **16** - 3 = / **13** /X **\$80.00** (**\$1,040.00**)

MULTIPLE DEPENDENT CLAIM(S) (if applicable) /+ **\$270.00** (\$

TOTAL OF ABOVE CALCULATIONS = (\$2,926.00)

☐ Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above
are reduced by 1/2. (\$

SUBTOTAL = (\$2,926.00)

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 (\$
months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE = (\$2,926.00)

Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be (\$
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property + (\$

TOTAL FEES ENCLOSED = (\$2,926.00)

(Amount to be: \$
refunded:

(charged: \$

a. ☒ A check in the amount of **\$2,926.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit
Account No. **50-0320**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the
application to pending status.

SEND ALL CORRESPONDENCE TO:

WILLIAM S. FROMMER
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Dated: April 6, 2001

SIGNATURE

Dennis M. Smid
NAME34,930
REGISTRATION NUMBER

9/807114

JC08 Rec'd PCT/PTO 06 APR 2001

Attorney Docket No. 450106-02645

New Patent Application filed **April 6, 2001**, entitled:

**TRANSMITTING APPARATUS, TRANSMITTING METHOD, RECEIVING APPARATUS, RECEIVING METHOD,
TRANSMITTING AND RECEIVING APPARATUS, TRANSMITTING AND RECEIVING METHOD, RECORD
MEDIUM, AND SIGNAL**

corresponding to PCT Application No. PCT/JP00/05319

filed August 9, 2000

Express Mail No.: EL742696232US

Date of Deposit: April 6, 2001

I hereby certify that this application and the accompanying papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Box PCT
Assistant Commissioner for Patents
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